



11/20/01

11/23/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



A

Docket No. A-64580-5/RFT/NBC

Anticipated Classification of  
this Application:

Class: Subclass:

Prior Application:

Examiner: S. Kaushal, Ph.D.

Art Unit: 1633

"EXPRESS MAIL" MAILING LABEL

NUMBER EL735048161US

DATE OF DEPOSIT November 20, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING

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OFFICE, BOX PATENT APPLICATION, P.O. BOX 2327,

ARLINGTON, VA 22202.

TYPED NAME DARRYL KRINER

SIGNED

United States Patent and Trademark Office

Box PATENT APPLICATION

P.O. Box 2327

Arlington, VA 22202

Sir:

This is a request for filing a(n)

☐ Original☒ Continuation☐ Divisional☐ Continuation-in-partapplication under 37 C.F.R. 1.53(b), in the name of DAVID ZARLING, SUSHMAPATI, and ELISSA SENA, DECEASED (Names of ALL Applicants), for IN VIVOHOMOLOGOUS SEQUENCE TARGETING IN CELLS (Title of Invention). This ☒continuation ☐ divisional ☐ continuation-in-part claims priority toapplication Serial No. 08/910,415 filed August 13, 1997 and applicationSerial No. 09/927,160 filed August 9, 2001, which is a continuation ofapplication Serial No. 09/079,877 filed May 15, 1998, which is, in turn,a continuation-in-part of application Serial No. 08/910,415 filed August13, 1997.

1. (a) ☐ Enclosed is a new application.  
(b) ☐ Enclosed is a continuation-in-part application.  
(c) ☒ Enclosed is a copy of the prior application.
2. (a) ☐ Enclosed is a new Declaration.  
(b) ☒ Enclosed is a copy of the prior executed Declaration as originally filed.  
(c) ☐ Enclosed is a Combined Declaration/Power of Attorney.
3. ☐ Applicant claims small entity status. See 37 CFR 1.27.
4. ☒ The filing fee is calculated below:  
Claims as filed in the prior application, less any claims canceled by amendment below:

(Col. 1)	(Col. 2)
<u>NO. FILED</u>	<u>NO. EXTRA</u>

<u>SMALL ENTITY</u>	
<u>RATE</u>	<u>FEE</u> OR
	\$355 OR

<u>OTHER THAN A</u>	
<u>SMALL ENTITY</u>	
<u>RATE</u>	<u>FEE</u>
	\$740.00

FOR:  
BASIC FEE

TOTAL CLAIMS 10 -20 = \* 0  
INDEP CLAIMS 2 -3 = \* 0  
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

x 9 = \$ \_\_\_\_\_ OR x 18 = \$ \_\_\_\_\_  
x 40 = \$ \_\_\_\_\_ OR x 80 = \$ \_\_\_\_\_  
+ = \$ \_\_\_\_\_ OR = \$ 740.00  
TOTAL \$ \_\_\_\_\_ OR TOTAL \$ 740

5. X The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-64580-5/RFT/RMS/AMS).

6. X Our check in the amount of \$740.00 is enclosed.

7. X Cancel in this application original claims 2-48 of the prior application before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)

8. X Amend the specification by inserting before the first line the sentence:

--This is a X continuation of application Serial No. 08/910,415 filed August 13, 1997 and application Serial No. 09/927,160 filed August 9, 2001, which is a continuation of application Serial No. 09/079,877 filed May 15, 1998, which is, in turn, a continuation-in-part of application Serial No. 08/910,415 filed August 13, 1997.--

9. (a) X Informal drawings are enclosed, 24 sheets, copies as filed in parent application.

(b) \_\_\_\_\_ Formal drawings are enclosed, \_\_\_\_\_ sheets.

10. (a) \_\_\_\_\_ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.

(b) \_\_\_\_\_ The certified copy has been filed in prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.

11. \_\_\_\_\_ An Assignment is enclosed.

12. X The prior application is assigned of record to SRI International.

13. \_\_\_\_\_ A Power of Attorney by Assignee is enclosed.

14. X The power of attorney in the prior application is to:

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP

(name)

SUITE 3400, FOUR EMBARCADERO CENTER

(address)

SAN FRANCISCO, CALIFORNIA 94111-4187

(a) X The power appears in the original papers in the prior application.

(b)      Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

(c) X Address all future communications to:

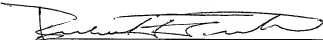
RICHARD F. TRECARTIN, ESQ.  
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400, Four Embarcadero Center  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989

15. X A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
16.      A Prior Art Statement is enclosed.
17. X I hereby verify that the attached papers are a true duplicate of prior application Serial No. 08/910,415 as originally filed on August 13, 1997.

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Dated: November 20, 2001

  
Richard F. Trecartin,  
Reg. No. 31,801

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